# STANDARDS COMMITTEE 12 JULY 2007

# THE NEW CODE OF CONDUCT FOR MEMBERS

- 1. The Council adopted the new code of conduct for members at the Annual Meeting on 24 May 2007. A copy of my report to Council is attached. Unfortunately timing of the introduction of the new code made it impractical to present that report through the Standards Committee.
- 2. The purpose of this report is to give the Standards Committee an opportunity to consider the new code and make any observations on it or on the arrangements for training for both borough and parish council members.
- 3. The new code was the subject of brief induction sessions for borough councillors on 15<sup>th</sup> and 16<sup>th</sup> May and a more detailed session on 7 June. In addition the Good Practice Protocol on Planning was included within the induction training for the Planning Committee. A further externally facilitated training event on the new code of conduct is being held at the Civic Centre on the evening of Tuesday 17 July. This is open to borough and parish councillors and parish clerks. Several parish councils have already taken the opportunity to adopt the new code. It is likely that a further event for parishes will need to be arranged by the Monitoring Officer later in the year in order to ensure wider coverage.
- 4. Adoption of the new code of conduct necessitates further detailed work by the Monitoring Officer to update and supplement the Council's Constitution as follows:-
  - Part 5 of the Constitution on Codes and Protocols requires a thorough review to reflect the new code. This includes substantial revisions to the Good Practice Protocol on Planning.
  - The new code requires local protocols to be prepared and agreed to deal with
    - (a) procedures to be followed by councillors in exercising their right under paragraph 12(2) of the code to speak on matters in which they have a prejudicial interest.
    - (b) the Council's requirements in relation to any exercise by a councillor of the right in paragraph 4(a)(iv) of the Code to disclose confidential information "in the public interest."
- I intend to undertake this further work in the coming months and submit it for approval by the Council through the Standards Committee.

T W MORTIMER
Monitoring Officer &
Head of Legal & Democratic Services

Agenda Item No: 16

Report To: COUNCIL

Date: 24 MAY 2007

Report Title: NEW CODE OF CONDUCT FOR MEMBERS

Report Author: MONITORING OFFICER/HEAD OF LEGAL & DEMOCRATIC SERVICES

**Summary:** 

The Government has introduced a new national model code of conduct for councillors to replace the old code introduced in 2002. Whilst the legislation allows 6 months for adoption (from April 2007) this report recommends its immediate adoption by the Council in order to avoid confusion which would be caused by a significant overlap between old and new codes in the new Council. The new code is also clearer and less restrictive in some respects and it is therefore considered appropriate to bring forward this report as early as possible. This report briefly highlights the principal changes/new provisions in the new code.

Key Decision: NO

Recommendations: THAT THE COUNCIL

- (1) Notes the ten general principles of conduct already prescribed by the Secretary of State and set out in Appendix 2 to this report and adopts with immediate effect the new code of conduct as set out in the Local Authorities ((Model Code of Conduct) Order 2007 as a replacement for the code adopted in May 2002; the new code constituting "the code as to the conduct which is expected of members of Ashford Borough Council" described in the undertakings to observe the code already given by members.
- (2) Authorises the Monitoring Officer to give all necessary statutory notices and publicity to the adoption of the new code
- (3) All parish councils within Ashford Borough be recommended to adopt the new code as soon as possible and be given such advice and assistance and training by the Monitoring Officer as reasonably practicable.
- (4) All members of the Borough Council be encouraged to attend one of the introductory training sessions which will include reference to the new Code of Conduct on 15 May (morning) or 16 May (evening) and/or the more detailed training session on constitutional issues including the new code on Thursday 7 June (evening)
- (5) Further reports on all consequential changes to the Council's Constitution in particular Part 5 of the Constitution on "Codes and Protocols" be submitted by the Monitoring Officer via the Standards Committee.

**Policy Overview:** Adoption of the new code is, in effect, mandatory rather than constituting a

discretionary policy decision.

**Financial Implications:** No direct financial implications.

Other Material Implications:

The Council should adopt the new code as soon as possible to ensure the clearer and slightly less restrictive provisions of the new code are brought into force without delay.

Background Papers: Model Code of Conduct Order 2007

Report Title: NEW CODE OF CONDUCT FOR MEMBERS

#### **Purpose of the Report**

To recommend to the Council the adoption of a new code of conduct for councillors based on the mandatory provisions of the new 2007 Model Code of Conduct Order

# INTRODUCTION

- 1.1 Following a lengthy consultation process, the Secretary of State for Communities and Local Government made the Local Authorities (Model Code of Conduct) Order 2007 on 2<sup>nd</sup> April 2007. The Order contains a new Model Code, which is attached as Appendix '1'. Copies of the new code were also given to all councillors as part of the induction/information pack following the election on 3 May. Under Section 51 of the Local Government Act 2000, it is the duty of a Local Authority to adopt the new Model Code within 6 months of the making of the Order.
- 1.2 The Standards Board for England has issued general Guidance on the Code and a copy of this guidance will be provided for all councillors. The Standards Board strongly recommend that Local Authorities should adopt the new Code in its model form without amendment. This is to give certainty to members and the public as to what standards are expected; ensure consistency throughout England, and minimise the legal risk of adopting additional provisions that are unenforceable. The Standards Board, however, do recommend that local authorities include a preamble to the Code which outlines the ten general principles governing the conduct of members which were first prescribed by the Secretary of State in 2001. The principles are set out as Appendix '2'. For the avoidance of doubt I am recommending simply that the ten principles are noted and included within the relevant part of the Constitution. The new code itself states that "you should read this Code together with the general principles prescribed by the Secretary of State."
- 1.3 As the new Code relaxes certain restrictions on members making representations where they have a prejudicial interest, it is considered that there is no merit in continuing to apply such restrictions and that the new Code should be adopted by the Council at the earliest opportunity. Unfortunately this has not allowed time for the matter to be reported through the Standards Committee but the Chairman of that Committee has agreed that it is important to report to Council on adoption of the new code as soon as possible.
- 1.4 It is intended as part of the induction process for all members to be given some training on the new Model Code. It is recommended that all members be encouraged to attend one of the introductory induction sessions on 15<sup>th</sup>/16<sup>th</sup> May and/or the more detailed Constitutional issues induction session on the evening of 7<sup>th</sup> June 2007 at which the new Code of Conduct will be covered. Training session(s) for parish councils will be arranged by the Monitoring Officer in due course once the new code has been more widely adopted by the parishes.

### **SUMMARY OF PRINCIPAL CHANGES TO THE CODE**

#### Scope

As before, the Code is intended to apply principally when a member is acting in an official capacity. Although not well phrased, para 2.3 of the Code states that in some circumstances the Code will also apply to conduct in a private capacity, but only where a criminal conviction has been imposed as a result of it. The 3 instances set out in the Code where this is the case are in relation to intimidation (para 3.2c); bringing the office or authority into disrepute (para 5); and improperly seeking an advantage (para 6.a). The last 2 instances were also included within the previous Code. However the courts in the recent case involving the Mayor of London made it clear that unless primary legislation is changed, the code can only apply where a councillor is performing functions as a councillor or where his/her actions have a

- clear link with the functions of the office eg: representing oneself as a councillor in order to secure a personal advantage.
- 2.2 Until the proposed amendments to section 52 of the Local Government Act 2000 (which are currently before Parliament within the Local Government and Public Involvement in Health Bill) reinstate the situation prior to the decision in the *Livingstone case*, only in the very limited circumstances referred to above will the Code apply to conduct outside of a member's official capacity.

# **General Obligations**

- 2.3 The positive obligation to treat others with respect remains (para 3.1 of the Code). Rather than being required to promote equality as under the existing Code, however, the new Code requires members not to do anything that may cause the authority to breach any of the equality enactments (para 3.2.a). A new requirement not to bully any person has been inserted (para 3.2.b) Some guidance on the new bullying provision is included in the Guidance from the Standards Board and it stresses that whereas offensive, intimidating or insulting behaviour is likely to constitute a breach of the new Code, this should be contrasted with legitimate challenges to fellow councillors or officers as to why they hold their views or have given particular advice. Personal criticism or attack, however, is likely to cross the line of what is acceptable behaviour.
- 2.4 The provisions regarding confidentiality have been changed to also allow disclosure of confidential information if it is reasonable and in the public interest to do so, and if disclosure is made in good faith and in compliance with the reasonable requirements of the authority (para 4.a.iv). So far as the new confidentiality provisions are concerned, brief advice is included within the Guidance issued by the Standards Board especially as to the nature of the 'public interest' test that should be satisfied before disclosure. There is an emphasis on the need to raise concerns through the proper channels before disclosure. At present I recommend that members should contact the Monitoring Officer for case-specific advice before they release any confidential information in reliance on this provision. In the meantime, the matter of "the authority's requirements" in this respect should be further considered through reports from the Monitoring Officer via the Standards Committee.
- 2.5 The obligation to have regard to any relevant advice given by the Monitoring Officer and the Chief Finance Officer has been retained and strengthened (para 7.1).
- 2.6 There is no longer any requirement on members to report any breaches of the Code to the Standards Board.

# <u>Declarations of Interest -</u> Personal Interests

- 2.7 The new list of personal interests required to be registered in the Register of Members Interests (para 8.1.a) is very similar to the current list. To the current list, however, has been added a new personal interest; namely, where it relates to or is likely to affect the interests of any person from whom at least £25 worth of gift or hospitality has been received (para 8.a.viii). Although such gifts or hospitality did need to be registered under the old Code, receipt did not constitute a personal interest and did not need to be declared at meetings.
- 2.8 A personal interest should be declared at a meeting if it is registered in the Register of Members' Interests. A personal interest should also be declared where the matter under discussion might reasonably be regarded as affecting the well-being or financial position of the member, or 'a relevant person', to a greater extent than the majority of other council tax payers, ratepayers or inhabitants of the ward affected by the decision. The old code provided for this test to apply to the whole of the authority's area accordingly this revised provision is likely to lead to less instances of personal interests arising.
- 2.9 The list of relevant people includes family or 'any person with whom you have a close personal association' as well as employers of those people. A member is, however, only liable to declare the interest if aware or ought reasonably to be aware of its existence. The reference in the old code to "friend" has been removed and replaced with the wider term "close association".

- 2.10 Personal Interests as listed in para 8.1.a should be registered in the Register of Members' Interests, which is maintained by the Monitoring Officer, and changes notified within 28 days, as now (para 13). If a Personal Interest arises at a meeting, then the existence and nature of the interest should generally be declared at the outset whether or not the member intends to speak (para 9.1).
- 2.11 Where the personal interest relates to a body to which the member has been nominated or appointed by the Council, or relates to a body exercising functions of a public nature, then the new Code proposes that the personal interest need only be declared at meetings where the member actually speaks on the relevant issue (para 9.2).
- 2.12 As now, if a Personal Interest is declared which is not prejudicial, the member may stay, speak and vote.
- 2.13 There are some detailed provisions with regard to disclosure of particular interests that members will need to acquaint themselves with in case any apply to their own circumstances. Details of 'sensitive information' that could create a serious risk of violence or intimidation need not be disclosed (para 9.5) or registered (para 14) provided the Monitoring Officer agrees.

#### **Prejudicial Interests**

- 2.14 The basic prejudicial interest test is the same as now; namely, that where there is a personal interest, then the interest is also prejudicial where it is one which a member of the public, with knowledge of the relevant facts, would reasonably regard as so significant that it is likely to prejudice the members judgment of the public interest (para 10.1).
- 2.15 The new Code, however, provides (para 10.2) that a member does not have a prejudicial interest where:
  - the matter under discussion does not affect the financial position of the member, or any person or body described in para 8 in relation to whom a member may have a personal interest;
  - the matter does not relate to the determining of any approval, consent, licence, permission or registration in relation to the member, or any person or body described in para 8 in relation to whom a member may have a personal interest; or
  - the matter relates to specific exceptions such as housing; school meals, school transport and travel expenses; statutory sick pay; members allowances, payments or indemnities; ceremonial hours; or setting the council tax.
- 2.16 These exceptions are fundamentally different from the position under the old Code and in effect involve a reversion to the old 'pecuniary' and 'non-pecuniary' distinction in interests. They could lead to less need to declare prejudicial interests but the complex common law rules on bias will continue to apply and in some limited circumstances, whether or not there is a breach of the code of conduct, members may still be unable to participate eg: where there was a real possibility that the decision making process would be biased as a result of the participation of member(s) with a personal interest in the outcome or member(s) who have "closed minds" and have predetermined the outcome. I strongly recommend that advice is taken from the Monitoring Officer as early as possible where any member intends to participate in a decision in reliance on the new provisions in para 10.2 of the Code.

#### Effect of Prejudicial Interests on participation

- 2.17 The basic rule is as now; namely that unless a dispensation has been obtained from the Standards Committee, a member with a prejudicial interest must withdraw from the room or chamber. Prior to withdrawing, however, under the new Code a member with a prejudicial interest may make representations, answer questions or give evidence at a meeting open to the public, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise. After speaking, the member must then immediately withdraw from the room or chamber.
- 2.18 The intention is to ensure that a member has and is able to exercise the same right as an ordinary member of the public to speak at a meeting open to the public despite having a

prejudicial interest. This would allow a councillor to undertake the "community advocate" role, despite a prejudicial interest, in a wider range of circumstances than at present. Again it is suggested that any member wishing to take advantage of this new provision seeks early advice from the Monitoring Officer at least until the new provision is widely understood in practice.

#### **CONCLUSION**

- 3.1 There are a number of significant changes from the current Code and, indeed, from the draft Code issued for consultation earlier this year. The delicate balance between the freedom of members to act as local advocates, and the need to ensure that decisions are made without the improper influence of personal interests has been re-examined in the new Code and greater participation by members with prejudicial interests is likely to be possible within the new Code. However the need for members to take early advice from the Monitoring Officer is, if anything, heightened by the new Code because of its failure to address the related issue of bias in the decision making process.
- 3.2 There will be consequential changes needed to the Council's existing Codes and Protocols as a result of the adoption of the Code, and it is suggested that these be the subject of further reports by the Monitoring Officer via the Standards Committee as soon as possible. Some provisions of the code require councillors to act "in accordance with the requirements of the authority" eg: para 4(a) in relation to disclosure of confidential information. The approval of a protocol to clarify such requirements would be helpful and again this work could be channelled through the Standards Committee.

#### **OTHER OPTIONS CONSIDERED**

4.1 It is a statutory duty to adopt the Code. If not adopted within 6 months, it will automatically be applied. Although it is possible to make amendments, the advice from the Standards Board for England is that this is not done for the reasons set out above.

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#### THE MODEL CODE OF CONDUCT

#### Part 1

# General provisions

# Introduction and interpretation

- 1.—(1) This Code applies to you as a member of an authority.
- (2) You should read this Code together with the general principles prescribed by the Secretary of State[13].
- (3) It is your responsibility to comply with the provisions of this Code.
  - (4) In this Code—
    - "meeting" means any meeting of-
    - (a) the authority;
    - (b) the executive of the authority;
    - (c) any of the authority's or its executive's committees, sub-committees, joint committees, joint sub-committees, or area committees;
    - "member" includes a co-opted member and an appointed member.
- (5) In relation to a parish council, references to an authority's monitoring officer and an authority's standards committee shall be read, respectively, as references to the monitoring officer and the standards committee of the district council or unitary county council which has functions in relation to the parish council for which it is responsible under section 55(12) of the Local Government Act 2000.

# Scope

- 2.—(1) Subject to sub-paragraphs (2) to (5), you must comply with this Code whenever you—
  - (a) conduct the business of your authority (which, in this Code, includes the business of the office to which you are elected or appointed); or
  - (b) act, claim to act or give the impression you are acting as a representative of your authority,

and references to your official capacity are construed accordingly.

- (2) Subject to sub-paragraphs (3) and (4), this Code does not have effect in relation to your conduct other than where it is in your official capacity.
- (3) In addition to having effect in relation to conduct in your official capacity, paragraphs 3(2)(c), 5 and 6(a) also have effect, at any other time, where that conduct constitutes a criminal offence for which you have been convicted.
- (4) Conduct to which this Code applies (whether that is conduct in your official capacity or conduct mentioned in sub-paragraph (3)) includes a criminal offence for which you are convicted (including an offence you committed before the date you took office, but for which you are convicted after that date).
  - (5) Where you act as a representative of your authority—
    - (a) on another relevant authority, you must, when acting for that other authority, comply with that other authority's code of conduct; or
    - (b) on any other body, you must, when acting for that other body, comply with your authority's code of conduct, except and insofar as it conflicts with any other lawful obligations to which that other body may be subject.

#### General obligations

- 3.—(1) You must treat others with respect.
- (2) You must not—
  - (a) do anything which may cause your authority to breach any of the equality enactments (as defined in section 33 of the Equality Act 2006[14]);
  - (b) bully any person;
  - (c) intimidate or attempt to intimidate any person who is or is likely to be—
    - (i) a complainant,
    - (ii) a witness, or
    - (iii) involved in the administration of any investigation or proceedings,

in relation to an allegation that a member (including yourself) has failed to comply with his or her authority's code of conduct; or

- (d) do anything which compromises or is likely to compromise the impartiality of those who work for, or on behalf of, your authority.
- (3) In relation to police authorities and the Metropolitan Police Authority, for the purposes of sub-paragraph (2)(d) those who work for, or on behalf of, an authority are deemed to include a police officer.

#### 4. You must not—

- (a) disclose information given to you in confidence by anyone, or information acquired by you which you believe, or ought reasonably to be aware, is of a confidential nature, except where—
  - (i) you have the consent of a person authorised to give it;
  - (ii) you are required by law to do so;
  - (iii) the disclosure is made to a third party for the purpose of obtaining professional advice provided that the third party agrees not to disclose the information to any other person; or
  - (iv) the disclosure is—
    - (aa) reasonable and in the public interest; and
    - (bb) made in good faith and in compliance with the reasonable requirements of the authority; or
- (b) prevent another person from gaining access to information to which that person is entitled by law.
- **5.** You must not conduct yourself in a manner which could reasonably be regarded as bringing your office or authority into disrepute.

#### 6. You—

- (a) must not use or attempt to use your position as a member improperly to confer on or secure for yourself or any other person, an advantage or disadvantage; and
- (b) must, when using or authorising the use by others of the resources of your authority—

- (i) act in accordance with your authority's reasonable requirements;
- (ii) ensure that such resources are not used improperly for political purposes (including party political purposes); and
- (c) must have regard to any applicable Local Authority Code of Publicity made under the Local Government Act 1986[15].
- 7.—(1) When reaching decisions on any matter you must have regard to any relevant advice provided to you by—
  - (a) your authority's chief finance officer; or
  - (b) your authority's monitoring officer,

where that officer is acting pursuant to his or her statutory duties.

(2) You must give reasons for all decisions in accordance with any statutory requirements and any reasonable additional requirements imposed by your authority.

#### Part 2

# Interests

#### **Personal interests**

- **8.**—(1) You have a personal interest in any business of your authority where either—
  - (a) it relates to or is likely to affect—
    - (i) any body of which you are a member or in a position of general control or management and to which you are appointed or nominated by your authority;
    - (ii) any body—
      - (aa) exercising functions of a public nature;
      - (bb) directed to charitable purposes; or
      - (cc) one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union),

of which you are a member or in a position of general control or management;

- (iii) any employment or business carried on by you;
- (iv) any person or body who employs or has appointed you;
- (v) any person or body, other than a relevant authority, who has made a payment to you in respect of your election or any expenses incurred by you in carrying out your duties;
- (vi) any person or body who has a place of business or land in your authority's area, and in whom you have a beneficial interest in a class of securities of that person or body that exceeds the nominal value of £25,000 or one hundredth of the total issued share capital (whichever is the lower);
- (vii) any contract for goods, services or works made between your authority and you or a firm in which you are a partner, a company of which you are a remunerated director, or a person or body of the description specified in paragraph (vi);
- (viii) the interests of any person from whom you have received a gift or hospitality with an estimated value of at least £25;
- (ix) any land in your authority's area in which you have a beneficial interest;
- (x) any land where the landlord is your authority and you are, or a firm in which you are a partner, a company of which you are a remunerated director, or a person or body of the description specified in paragraph (vi) is, the tenant;
- (xi) any land in the authority's area for which you have a licence (alone or jointly with others) to occupy for 28 days or longer; or
- (b) a decision in relation to that business might reasonably be regarded as affecting your well-being or financial position or the well-being or financial position of a relevant person to a greater extent than the majority of—
  - (i) (in the case of authorities with electoral divisions or wards) other council tax payers, ratepayers or inhabitants of the electoral division or ward, as the case may be, affected by the decision;

- (ii) (in the case of the Greater London Authority) other council tax payers, ratepayers or inhabitants of the Assembly constituency affected by the decision; or
- (iii) (in all other cases) other council tax payers, ratepayers or inhabitants of your authority's area.
- (2) In sub-paragraph (1)(b), a relevant person is—
  - (a) a member of your family or any person with whom you have a close association; or
  - (b) any person or body who employs or has appointed such persons, any firm in which they are a partner, or any company of which they are directors;
  - (c) any person or body in whom such persons have a beneficial interest in a class of securities exceeding the nominal value of £25,000; or
  - (d) any body of a type described in sub-paragraph (1)(a)(i) or (ii).

# Disclosure of personal interests

- 9.—(1) Subject to sub-paragraphs (2) to (7), where you have a personal interest in any business of your authority and you attend a meeting of your authority at which the business is considered, you must disclose to that meeting the existence and nature of that interest at the commencement of that consideration, or when the interest becomes apparent.
- (2) Where you have a personal interest in any business of your authority which relates to or is likely to affect a person described in paragraph 8(1)(a)(i) or 8(1)(a)(ii)(aa), you need only disclose to the meeting the existence and nature of that interest when you address the meeting on that business.
- (3) Where you have a personal interest in any business of the authority of the type mentioned in paragraph 8(1)(a)(viii), you need not disclose the nature or existence of that interest to the meeting if the interest was registered more than three years before the date of the meeting.
- (4) Sub-paragraph (1) only applies where you are aware or ought reasonably to be aware of the existence of the personal interest.
- (5) Where you have a personal interest but, by virtue of paragraph 14, sensitive information relating to it is not registered in your authority's register of members' interests, you must indicate to the

meeting that you have a personal interest, but need not disclose the sensitive information to the meeting.

- (6) Subject to paragraph 12(1)(b), where you have a personal interest in any business of your authority and you have made an executive decision in relation to that business, you must ensure that any written statement of that decision records the existence and nature of that interest.
- (7) In this paragraph, "executive decision" is to be construed in accordance with any regulations made by the Secretary of State under section 22 of the Local Government Act 2000[16].

# Prejudicial interest generally

- 10.—(1) Subject to sub-paragraph (2), where you have a personal interest in any business of your authority you also have a prejudicial interest in that business where the interest is one which a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice your judgement of the public interest.
- (2) You do not have a prejudicial interest in any business of the authority where that business—
  - (a) does not affect your financial position or the financial position of a person or body described in paragraph 8;
  - (b) does not relate to the determining of any approval, consent, licence, permission or registration in relation to you or any person or body described in paragraph 8; or
  - (c) relates to the functions of your authority in respect of—
    - (i) housing, where you are a tenant of your authority provided that those functions do not relate particularly to your tenancy or lease;
    - (ii) school meals or school transport and travelling expenses, where you are a parent or guardian of a child in full time education, or are a parent governor of a school, unless it relates particularly to the school which the child attends;
    - (iii) statutory sick pay under Part XI of the Social Security Contributions and Benefits Act 1992, where you are in receipt of, or are entitled to the receipt of, such pay;
    - (iv) an allowance, payment or indemnity given to members;

- (v) any ceremonial honour given to members; and
- (vi) setting council tax or a precept under the Local Government Finance Act 1992.

# Prejudicial interests arising in relation to overview and scrutiny committees

- 11. You also have a prejudicial interest in any business before an overview and scrutiny committee of your authority (or of a subcommittee of such a committee) where—
  - (a) that business relates to a decision made (whether implemented or not) or action taken by your authority's executive or another of your authority's committees, subcommittees, joint committees or joint sub-committees; and
  - (b) at the time the decision was made or action was taken, you were a member of the executive, committee, sub-committee, joint committee or joint sub-committee mentioned in paragraph (a) and you were present when that decision was made or action was taken.

# Effect of prejudicial interests on participation

- **12.**—(1) Subject to sub-paragraph (2), where you have a prejudicial interest in any business of your authority—
  - (a) you must withdraw from the room or chamber where a meeting considering the business is being held—
    - (i) in a case where sub-paragraph (2) applies, immediately after making representations, answering questions or giving evidence;
    - (ii) in any other case, whenever it becomes apparent that the business is being considered at that meeting;

unless you have obtained a dispensation from your authority's standards committee;

- (b) you must not exercise executive functions in relation to that business; and
- (c) you must not seek improperly to influence a decision about that business.
- (2) Where you have a prejudicial interest in any business of your authority, you may attend a meeting (including a meeting of the overview and scrutiny committee of your authority or of a subcommittee of such a committee) but only for the purpose of making

representations, answering questions or giving evidence relating to the business, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise.

#### Part 3

#### Registration of Members' Interests

# Registration of members' interests

- 13.—(1) Subject to paragraph 14, you must, within 28 days of—
  - (a) this Code being adopted by or applied to your authority; or
  - (b) your election or appointment to office (where that is later),

register in your authority's register of members' interests (maintained under section 81(1) of the Local Government Act 2000) details of your personal interests where they fall within a category mentioned in paragraph 8(1)(a), by providing written notification to your authority's monitoring officer.

(2) Subject to paragraph 14, you must, within 28 days of becoming aware of any new personal interest or change to any personal interest registered under paragraph (1), register details of that new personal interest or change by providing written notification to your authority's monitoring officer.

#### Sensitive information

- 14.—(1) Where you consider that the information relating to any of your personal interests is sensitive information, and your authority's monitoring officer agrees, you need not include that information when registering that interest, or, as the case may be, a change to that interest under paragraph 13.
- (2) You must, within 28 days of becoming aware of any change of circumstances which means that information excluded under paragraph (1) is no longer sensitive information, notify your authority's monitoring officer asking that the information be included in your authority's register of members' interests.
- (3) In this Code, "sensitive information" means information whose availability for inspection by the public creates, or is likely to create, a serious risk that you or a person who lives with you may be subjected to violence or intimidation.

Appendix 2

# The Ten General Principles of Public Life

**Selflessness** – members should serve only the public interest and should never improperly confer an advantage or disadvantage on any person.

**Honesty and integrity** – members should not place themselves in situations where their honesty and integrity may be questioned, should not behave improperly, and should on all occasions avoid the appearance of such behaviour.

**Objectivity** – members should make decisions on merit, including when making appointments, awarding contracts, or recommending individuals for rewards or benefits.

**Accountability** – members should be accountable to the public for their actions and the manner in which they carry out their responsibilities, and should co-operate fully and honestly with any scrutiny appropriate to their particular office.

**Openness** – members should be as open as possible about their actions and those of their authority, and should be prepared to give reasons for those actions.

**Personal judgement** – members may take account of the views of others, including their political groups, but should reach their own conclusions on the issues before them and act in accordance with those conclusions.

**Respect for others** – members should promote equality by not discriminating unlawfully against any person, and by treating people with respect, regardless of their race, age, religion, gender, sexual orientation or disability. They should respect the impartiality and integrity of the authority's statutory officers and its other employees.

**Duty to uphold the law** – members should uphold the law and, on all occasions, act in accordance with the trust that the public is entitled to place in them.

**Stewardship** – members should do whatever they are able to do to ensure that their authorities use their resources prudently, and in accordance with the law.

**Leadership** – members should promote and support these principles by leadership, and by example, and should act in a way that secures or preserves public confidence.